



**APRL & Law Society of England & Wales Conference: *Crisscrossing the Pond: Transatlantic Issues in Legal Ethics and Law Firm Regulation* (Nov. 2018)  
Panel: Lawyers and Anti-Money Laundering and Terrorist Finance Initiatives**

**ANTI-MONEY LAUNDERING (AML) RELATED RESOURCES**

**U.S. AML-Legal Profession Resources**

1. ABA, *Voluntary Good Practices Guidance for Lawyers to Detect and Combat Money Laundering and Terrorist Financing* (2010), <https://tinyurl.com/ABA-AML-guide>
2. New York City Bar, Formal Opinion 2018-4: Duties When an Attorney Is Asked to Assist in a Suspicious Transaction, [https://s3.amazonaws.com/documents.nycbar.org/files/2018415-Assisting\\_Suspicious\\_Activity.pdf](https://s3.amazonaws.com/documents.nycbar.org/files/2018415-Assisting_Suspicious_Activity.pdf)
3. ABA, Formal Opinion 463, *Client Due Diligence, Money Laundering, and Terrorist Financing* (May 23, 2013), <https://tinyurl.com/ABAOp463>
4. Kevin Shepherd, *ABA Needs A New Model Legal Ethics Rule* (April 16, 2017), <https://www.law360.com/articles/910316/aba-needs-a-new-model-legal-ethics-rule>
5. Additional Resources should be available soon on the revamped webpage of the ABA Task Force on Gatekeeper Regulation and the Legal Profession; *see also* ABA Model Rules, <https://tinyurl.com/MRPC-ABA>

**U.K. AML-Legal Profession Resources**

6. Law Society of England and Wales Webpage & links to extensive resources, <https://www.lawsociety.org.uk/support-services/risk-compliance/anti-money-laundering/>
7. Solicitors Regulation Authority, *Risk Resources: Cleaning up: Law firms and the risk of money laundering* (Nov. 2014), <https://www.sra.org.uk/risk/resources/risk-money-laundering.page> and SRA, *Make changes to your Anti Money Laundering authorization*, <https://tinyurl.com/SRA-AML-authorization>
8. Bar Standards Board, Anti-money laundering and Counter Terrorist Financing, <https://tinyurl.com/BSB-AML>
9. Office for Professional Body Anti-Money Laundering Supervision (OPBAS), <https://www.fca.org.uk/opbas>

**AML-Legal Profession Resources from Other Jurisdictions**

10. CCBE Anti-Money-Laundering Committee, <https://tinyurl.com/CCBE-FATF>
11. Federation of Law Societies of Canada Webpage about FATF and AML/CFT, <https://tinyurl.com/FLSC-AML>
12. Law Council of Australia, <https://www.lawcouncil.asn.au/tags/anti-money-laundering>

**AML-Legal Profession Resources from International Organizations**

13. *A Lawyer's Guide to Detecting and Preventing Money Laundering*: A collaborative publication of the International Bar Association, the American Bar Association and the Council of Bars and Law Societies of Europe (Oct. 2014), <http://tinyurl.com/2014lawyersguide>
14. International Conference of Legal Regulators 2018 Conference, *The Legal Regulator's Role in Combatting Money Laundering and FATF Mutual Evaluations* (Friday, Oct. 5, 2018 at 9:10am), <https://thehague2018.iclr.net/programme-and-materials.php>

15. IBA Anti-Money Laundering Forum, <https://www.anti-moneylaundering.org/>

#### **FATF Resources**

16. FATF 40 Recommendations, <https://tinyurl.com/FATF-40-recommendations>

17. FATF, *Money Laundering and Terrorist Financing Vulnerabilities of Legal Professionals* (June 2013) (also known as the FATF Typologies Report, <http://tinyurl.com/FATF-typologies>)

18. FATF, RBA for Legal Professionals (Oct. 2008), <http://tinyurl.com/FATFRBA> (currently being redrafted)

19. FATF Mutual Evaluations Webpage (includes links to FATF's Mutual Evaluation Reports and Methodology & Procedures documents, including the [2016 US MER](#) and forthcoming UK MER), <http://tinyurl.com/FATF-MERs>

#### **Law Review Symposia**

20. Law Review Symposium: *Combating Threats to the International Financial System: The Financial Action Task Force*, <https://www.nylslawreview.com/2014/5-volume-59-number-3/>

21. IBA AML Reading Room (includes, inter alia, the 2010 AALS Symposium articles published in the ABA CPR's Journal of the Professional Lawyer), <http://tinyurl.com/AALS-FATF-articles>

#### **Laurel Terry's FATF-AML Short Documents**

22. Laurel Terry Blog Post about a guilty plea of a lawyer who should have known his IOLTA account was being used for money laundering (with additional links), <https://tinyurl.com/Terry-FATF-blog>

23. Laurel Terry's 2-page summary of the money laundering/terrorist financing "red flags" in the ABA's Voluntary Good Practices Guide, <https://tinyurl.com/VGPG-Terry>

24. Laurel Terry's 2-page handout for state bar associations explaining why they should put AML/CFT resources on their webpages (prepared in anticipation of the US FATF MER report), <https://tinyurl.com/FATFadvice>

#### **Laurel Terry's AML-FATF Law Review Articles and Presentations**

([https://works.bepress.com/laurel\\_terry/](https://works.bepress.com/laurel_terry/) or <https://tinyurl.com/Laurel-Terry-slides>)

25. Laurel S. Terry & José Carlos Llerena Robles, *The Potential Impact on the World's Legal Profession of the FATF'S AML Recommendations & 4th Round of Mutual Evaluations*, 41 Fordham Int'l L.J. (2018) (forthcoming at [https://works.bepress.com/laurel\\_terry/](https://works.bepress.com/laurel_terry/); select "Financial Action Task Force" articles from the dropdown menu)

26. Laurel S. Terry, *U.S. Legal Profession Efforts to Combat Money Laundering & Terrorist Financing*, 59 N. Y. L. S. L. Rev. 487 (2014/15), [https://works.bepress.com/laurel\\_terry/29/](https://works.bepress.com/laurel_terry/29/) (also includes information about FATF)

27. Laurel S. Terry, *An Introduction to the Financial Action Task Force and its 2008 Lawyer Guidance*, 2010 J. Prof. Law. 3 (mostly useful for historic information about other countries' implementation of the FATF recommendations and bar association opposition), [https://works.bepress.com/laurel\\_terry/12/](https://works.bepress.com/laurel_terry/12/)

28. Laurel Terry's 2016 and 2017 Conference slides discussing the FATF 4<sup>th</sup> Mutual Evaluation Report process, <https://tinyurl.com/Terry-FATF-MER-slides> and <https://tinyurl.com/Terry-FATF-MERs-2017>

29. Laurel Terry's 2014 NYLS FATF Symposium Presentation Slides explaining what the US legal profession has done in order to educate US lawyers about the FATF Recommendations, <http://tinyurl.com/terry-FATF-US>

30. Laurel Terry's 2012 NOBC Presentation Slides regarding FATF, <http://tinyurl.com/NOBC-FATF>

31. Laurel Terry's 2010 AALS Presentation Slides, *Transformative Law: The Impact of International Law on Lawyer Regulation a Case Study Focusing on the Financial Action Task Force (FATF) and its 2008 Lawyer Guidance*, <http://tinyurl.com/AALSFATF>