



Colorado Lawyer Self-Assessment Program Checklists

[Small Firm Business Manual and Risk Management Checklist](#)

[Small Firm Client Engagement Checklist](#)

[Small Firm Staff Procedures Checklist](#)

[Sole Practitioner Business Manual and Risk Management Checklist](#)

[Sole Practitioner Client Engagement Checklist](#)

[Sole Practitioner Staff Procedures Checklist](#)

[Employee Termination or Resignation Checklist](#)

Proactive Management-Based Program (PMBP) Materials

Colorado

[Colorado Lawyer Self-Assessment Program Resource Bibliography](#)

Karen Hammer, [Proactively Manage the Financial Risks of Ethics Violations](#), Boulder County Bar Ass'n E-Newsletter (Boulder, CO), February 2018, at 1.

Cecil Morris, [Colorado's New Lawyer Self-Assessment Program](#), Trial Talk (Dec./Jan. 2018).

Jonathan White, [Self-Assessment Program Aims to Enhance Lawyer Competency and Client Satisfaction](#), 46:9 Colo. Law. 10 (2017).

["Subcommittee Turns to Proactive Regulation," OARC Update, Winter 2016](#)

Proactive Management-Based Programs: Other Jurisdictions

[National Organization of Bar Counsel \(NOBC\) Proactive Regulation FAQs](#)

- This document includes the FAQs, [Appendix A](#), which lists PMBR resources, and [Appendix B](#), which lists "Innovative Programs used in U.S. and Canadian Jurisdictions".

[National Organization of Bar Counsel Entity Regulation FAQs](#)

The NOBC has a [Global Resources page](#); the ["Entity Regulation" link](#) on that page provides more information about proactive management-based regulation (PMBR) and the related concepts of proactive regulation and entity regulation.

International regulator webpages relevant to PMBP

- [Nova Scotia](#)
- [New South Wales](#)
- [Law Society of Ontario](#)
- [Law Society of British Columbia](#)

PMBP Law Journal Articles

Susan S. Fortney, [Designing and Improving a Systems of Proactive Management-Based Regulation to Help Lawyers and Protect the Public](#), J. Prof'l Law (2016).

Susan S. Fortney, [Promoting Public Protection through an "Attorney Integrity" System: Lessons from the Australian Experience with Proactive Regulation System](#), 23 Prof. Law. 16 (2015).

Susan S. Fortney, [Preventing Legal Malpractice and Disciplinary Complaints: Ethics Audits as a Risk-Management Tool](#), Bus. Law Today, March 2015 (ethics column).

Susan S. Fortney, [The Role of Ethics Audits in Improving Ethical Conduct in Law Firms: An Empirical Examination](#), 4 St. Mary's J. Legal Mal. & Ethics 112 (2014).

Susan Fortney & Tahlia Gordon, [Adopting Law Firm Management System to Survive and Thrive: A Study of the Australian Approach to Management-Based Regulation](#), 10 U. St. Thomas L.J. 152 (2012).

Amy Salyzyn, [From Colleague to Cop to Coach: Contemporary Regulation of Lawyer Competence](#), 94 Can. Bar Rev. ___ (2017) (forthcoming).

Ted Schneyer, [The Case for Proactive Management-Based Regulation to Improve Professional Self-Regulation for U.S. Lawyers](#), 42 Hofstra L. Rev. 233 (2013).

Ted Schneyer, [On Further Reflection: How "Professional Self-Regulation" Should Promote Compliance with Broad Ethical Duties of Law Firm Management](#), 53 Ariz. L. Rev. 577 (2011).

Laurel S. Terry, [The Power of Lawyer Regulators to Increase Client & Public Protection Through Adoption of a Proactive Regulation System](#), 20 Lewis & Clark L. Rev. 717 (2016).

Laurel S. Terry, [When it Comes to Lawyers... Is an Ounce of Prevention Worth a Pound of Cure?](#), JOTWELL (July 13, 2016).

Laurel S. Terry, [Globalization and the ABA Commission on Ethics 20/20: Reflections on Missed Opportunities and the Road Not Taken](#), 43 Hofstra L. Rev. 95, 128, n. 142 (2014) (this note discusses using Rule 5.1 to encourage proactive management programs).

Laurel S. Terry, [Why Your Jurisdiction Should Consider Jumping On The Regulatory Objectives Bandwagon](#), 22(1) Prof. L. 28 (2013).

Laurel S. Terry, Steve Mark, Tahlia Gordon, [Trends and Challenges in Lawyer Regulation: The Impact of Globalization and Technology](#), 80 Fordham L. Rev. 2661 (2012).

Laurel S. Terry, [Trends in Global and Canadian Lawyer Regulation](#), 76 Saskatchewan L. Rev. 145 (2013).

Laurel S. Terry, Steve Mark, Tahlia Gordon, [Adopting Regulatory Objectives for the Legal Profession](#), 80 Fordham L. Rev. 2685 (2012).