ABSTRACT

Performance of an axial fan unit used in ducted fan based propulsion systems is closely related to its tip leakage mass flow rate and the level of tip/casing interactions. The present experimental study uses a stereoscopic Particle Image Velocimeter to quantify the three dimensional mean flow observed at just downstream of a ducted fan unit. After a comprehensive description of the baseline fan exit flow, a number of novel tip treatments based on pressure side extensions are introduced. Various tip leakage mitigation schemes are introduced by varying the chordwise location and the width of the extension in the circumferential direction. The current study shows that a proper selection of the pressure side bump location and width are the two critical parameters influencing the success of each tip leakage mitigation approach. Significant gains in axial mean velocity component are observed when a proper pressure side tip extension is used. It is also observed that a proper tip leakage mitigation scheme significantly reduces the tangential velocity component near the tip of the axial fan blade. Reduced tip clearance interactions are essential in improving the energy efficiency of ducted fan systems. A reduction or elimination of the momentum deficit in tip vortices are also expected to reduce the adverse performance effects originating from the unsteady and highly turbulent tip leakage vortical flows rotating against a stationary casing.

INTRODUCTION

The flow field between the stationary casing and rotor tip of an axial fan is complex because of the interaction of the leakage flow, annulus wall boundary layer and rotor wake. Inherent pressure difference between the pressure side and suction side of blade tip generates a tip leakage flow that is responsible for a substantial portion of aerodynamic losses in axial flow fans. The leakage flow also rolls into a highly three dimensional tip leakage vortex with significantly turbulent and unsteady flow features in each passage. Tip leakage vortex is a complicated flow phenomena that is one of the dominant mechanisms of noise generation by unsteady interactions with fan blades. It is also one of the major energy loss sources for axial flow fan systems. Despite the close relation between the tip leakage flow and performance of axial fans, there has been limited amount of information about three dimensional flow structure of leakage vortex in open literature [1-4].

Inoue and Kuroumaru et al. [5] made detailed flow measurements before and behind an axial flow rotor with different tip clearances. In their study, they investigated the clearance effect on the behavior of tip leakage flow. Furukawa and Inoue et al. [6] also investigated breakdown of tip leakage vortex in a low speed axial flow compressor.

Reducing tip leakage mass flow rate improves the aerodynamic performance of axial flow fans and compressors. Implementation of treatments in the non-rotating part over the blade tip is also an efficient way of tip leakage flow reduction.

1 Professor of Aerospace Engineering, corresponding author cxc11@psu.edu
2 Graduate Research Assistant
References [7] and [8] investigate different casing treatments for axial flow compressors. The wake developed from an axial flow fan has a strong influence on the system performance. It is a significant source of aerodynamic loss and affects the efficiency and vibration characteristics. References from [9] to [11] deal with extensive investigations of the wake flow features such as mean velocities, turbulence and decay characteristics on turbomachinery performance.

Stereoscopic Particle Image Velocimetry SPIV is an effective way of measuring all three velocity components of an instantaneous flow field over a selected area [12-16]. A comprehensive discussion of the specific stereoscopic PIV technique used in this paper is given by Kahveci and Camci in [13-15]. Yoon and Lee et al. [16] investigated the flow structure around an axial flow fan using the SPIV technique. The time averaged results clearly show the evolution and dissipation of tip vortices. Yen and Lin et al. [17] analyzed exit flow performance and properties of an axial flow fan with winglet-blades at various impeller angles using SPIV. The velocity profiles show the most stable and the best fan performance, resulting from winglet-blades which increase the lift and reduce the drag. Wernet et al. [18] made phase-locked three-dimensional digital Particle Image Velocimetry (DPIV) measurements near the tip region of a low speed compressor rotor to characterize behavior of rotor tip clearance flow. Comparison of the DPIV measurements to Navier-Stokes flow simulations was also done.

Corsini et al. [19-22] presented the results of a computational study of an axial flow fan using “improved tip concepts”. The first two endplates were with constant and variable thickness distributions while the last two were designed by combining the end-plates with a stepped gap on the tip. The investigation was based on a finite element Navier-Stokes solver for the physical interpretation of the detailed 3D leakage flow field. The specific fan performance experiments have shown that the improved tip concepts introduced a small performance de-rating, but the efficiency curves give evidence of an improvement with better peak performance and a wider high efficiency curve towards the rotor stall margin. An aeroacoustic investigation showed a reduction of the rotor aeroacoustic signature.

The computationally predicted loss coefficients in Corsini et al. [22] showed that the highest loss regions were always observed near the leakage vortex core. The computational comparison of mechanical energy loss within the gap showed that the tip end-plates lead to a reduction of mechanical energy loss within the gap.

The main objectives of the current study are summarized as follows:

- To investigate the characteristics of tip leakage flow and tip vortex in an axial flow fan. All three components of the tip region exit flow field are measured using SPIV technique.
- To eliminate the adverse effects of tip vortex by designing novel rotor tip geometries using pressure side extensions.
- To reduce the momentum deficit in tip vortex structures.
- Increasing the efficiency of axial fan systems.
- To provide high quality phase-locked instantaneous flow data for further studies in aerocoustics of tip treated axial flow fans.

**EXPERIMENTAL SET – UP**

**Test rig:** The test rig consisting of an axial flow fan, mock-up unit and electric drive system as shown in Fig.1 was designed to investigate the rotor exit flow phenomena using a stereoscopic PIV system. The set-up has also provisions for seeding the fan flow field with a smoke generator using a fluidized bed. The smoke generator is located near the inlet section of the mock-up unit where a perforated plate controlling the fan loading is mounted. The electric motor driving the fan rotor is speed controlled by an AC inverter unit. The current phase-locked SPIV measurements are triggered by using an optical once-per-revolution device located near the hub of the rotor inlet. An infrared beam is reflected from a highly reflective surface attached to the rotor hub. This once-per-rev pulse provides a phase-locked triggering of the SPIV data collection system. The relative position of the rotor can be adjusted accurately in relative to the position of the laser light sheet that contains the rectangular SPIV measurement area.

**Ducted Fan:** Fig. 2 shows the seven bladed axial flow fan unit including the orientation of the SPIV system components. The geometric specifications are presented in Table 1. A perforated plate at the inlet section of the mock-up unit is used for creating realistic fan loading conditions. The tip of the blades are modified through an “operation window” as shown in Fig. 1. Only one blade tip out of seven blades is modified since the current SPIV system is capable of measuring in the immediate vicinity of a “selected” blade tip due to the phase-locked and instantaneous nature of the SPIV measurements. A precision machined 0.030 inch (0.762 mm) thick thermoplastic layer was used for the selected tip platform design. The tip platform design was attached to the precision machined tip area in an intrusive way through the operation window shown in Fig.1. The current rotor blades have serrated trailing edges for effective mixing of the blade boundary layers in the wake of each blade. The serrated trailing edges also provide an effective
mixing of individual tip vortices with the wakes of the seven blades in the rotating frame of reference. It should be noted that the comparison of the flow with serrated trailing edges with smooth trailing edge flow is not the subject of the current paper. A comparative flow physics study of serrated trailing edges against smooth trailing edges is currently under progress in our laboratory.

Figure 2. Axial flow fan as seen from the exit plane

Figure 3. Axial flow fan performance

Fan Performance: The performance of the fan unit is measured under three different loading conditions. The first performance point (140 Pa, 80 m³/min) shown in Fig. 3 is obtained by using a perforated steel plate at the mock-up inlet. The perforated plate has an open area ratio of 19.6%. A second perforated plate using a slightly larger open area ratio 42.5% provides the middle point (280 m³/min, 88 Pa) in the performance curve as shown in Fig. 3. The third point with the highest volumetric flow rate at 340 m³/min is obtained when there is no perforated plate installed at the inlet section of the mock-up unit. Pressure change across the fan is measured by using Pitot static holes mounted on all four sides of the mock-up unit. Wall-static pressures from all four sides are averaged. For the mass flow rate measurements, a hot-wire anemometer is used at rotor downstream. Fig. 3 shows the performance curve of the fan unit.

Stereoscopic PIV system: The PIV technique measures instantaneous velocity components of a flow field over a determined area [8-11]. Small particles are introduced into the fluid flow, and the region of interest is illuminated by the light sheet provided by short laser pulses lasting as short as a few nanoseconds. The subsequent step is the recording of the displacement of particles via two CCD cameras.

The scattered light from the seeding particles are recorded by two different cameras for simultaneous recording on digital media. The initial position of a particle is recorded on the first frame of the camera right after first laser pulse fires. In general, a typical duration of a laser pulse is about 30 nano seconds in a flow field similar to the current study. Its final position is recorded in the same way on the second frame of the same camera when the second laser pulse fires. The time interval between the two frames is usually determined by the mean flow speed in the area of investigation. The order of magnitude of this time separation between the two frames is “microseconds”. Since the displacement of the particle and the time interval between the two subsequent laser pulses are known, the velocity of the particle can be calculated by the simple equation: speed=distance/time. A comprehensive explanation of this technique is given in references from [12] to [16].

Table 1. Geometric parameters of the axial flow fan

| Number of Blades | 7 |
| Tip Radius | 15.15” (384.8 mm) |
| Hub Radius | 5.00” (127.0 mm) |
| Tip Chord length | 4.69” (116.8 mm) |
| Hub Chord length | 5.89” (149.6 mm) |
| rpm | 859 |
| Tip Clearance | \( t = 0.1” (2.54 \text{ mm}) \) \( t/h=0.1/((15.15”-5.0”) \approx 1 \text{ % relative to blade height} \) |

In 3D PIV, there is an additional camera viewing the field from a different angle. The two-dimensional image obtained by each camera is slightly different from each other, and they are afterwards combined to produce the three-dimensional velocity information. Stereoscopic vision principles are instrumental in this process of combining the two planar images obtained from the two cameras viewing the same flow-field simultaneously. The data reduction in a stereoscopic PIV system requires the processing of four independent images from the two cameras. For 3D analysis, the 2D calibration images need additionally to be converted into 3D data by a Direct Linear Transform (DLT) model, in order to calculate the third component of the velocity. Correlation techniques are used to obtain raw vector maps out of image pairs taken during the experiments, and a number of calculation methods are used to evaluate these vector maps.
afterwards. In summary, the three dimensional space defined by the planar measurement area and the finite thickness of the laser sheet is analytically described in relation to the highly distorted images captured by the two CCD chips in each of the two cameras. The distortions observed on the planar CCD images are generated by the angled position of the two cameras. For example, a perfect cube in the measurement space is seen as a distorted cube in each one of the two images generated by the two cameras.

The current study uses high sensitivity cameras that are essential in high speed flow measurements. Two of the 80C60 HiSense PIV/PLIF cameras with 1024 x 1280 pixels are used with 80N57 personality module fitted to processor, and a Nikon micro-Nikkor 60/2.8 objective, for each camera. The calibration plate, the cameras, Nd-Yag pulsed laser unit and axial fan rotor blades are shown in Fig. 2. Initial calibration procedure requires that the laser light sheet generated by the Nd-Yag laser is aligned with the calibration plate carrying a high precision square grid.

![Figure 4. SPIV measurement plane (horizontal) downstream of the rotor exit](image)

**EXPERIMENTAL RESULTS AND DISCUSSION**

**Measurement Domain:** The axial (y), radial (x) and tangential (z) components of velocity profiles were simultaneously measured near the tip region of the fan under the influence of a few novel tip platform extensions designed throughout this investigation. Fig. 4 shows the measurement domain which is a in x-y plane located at just downstream of the rotor. The x-y plane is oriented horizontally and contains the axis of rotation. Most of the SPIV distributions covered a spanwise region from r/Rtip=0.6 to r/Rtip=1.3. This measurement area corresponds to a region covering the last three quarters of the blade height including the tip region flow. The spanwise velocity distributions shown in Figures 8, 9, 10 and 11 are obtained at an axial position 46 mm away from the rotor exit plane. x, y and z directions correspond to radial, axial and tangential (out of plane direction in SPIV laser sheet) directions. The radial direction is also marked with r/Rtip in order to mark the exact position of the blade root and tip in spanwise distributions of velocity. r/Rtip= is approximately 0.33 at blade root location (hub).

**Specific Rotor Positions for Phase-locked Measurements:** The results from the proposed tip platform extensions are compared to the results obtained from a baseline tip at two different tip clearance levels. All three components of the velocity vector were measured for 7 circumferential locations of the rotor (with respect to the SPIV measurement plane). These locations were chosen by dividing the rotor blade pitch into 7 equi-angular regions. These locations can be viewed in Fig. 5. Although the measurements were performed at seven positions, the data only from three selected positions are presented. The selected positions include blade tip leading edge, mid-chord and trailing edge (locations 3, 4, 5).

**Statistical Stability of the Measurements:** Since the mean velocity components in axial, radial and tangential directions are obtained from an ensemble averaging process, the ensemble size is of critical importance in achieving statistically stable mean velocity distributions in SPIV data reduction process. Figure 6 presents the influence of ensemble averaging sample

![Figure 6. Influence of sample size in SPIV ensemble averaging](image)
size on the spanwise distribution of the most significant velocity component “axial component”. The baseline blade tip is used in this experiment with a nominal tip clearance of %1 (of the blade height). The spanwise region \( r/R_{\text{tip}} > 0.9 \) is not significantly influenced from the choice of the ensemble averaging sample size. The sample sizes of 150, 200 and 250 produce very similar spanwise distributions in this region near the tip.

**Uncertainty in SPIV measurements:** A typical experimental uncertainty in the current SPIV based velocity measurements is estimated to be less than 0.6 % of the mean value (±0.1 m/s). All SPIV experiments presented in Figs. 8, 9, 10 and 11 are conducted using an ensemble averaging sample size of 170.

**Tip Clearance Values:** An effective control of tip leakage flow was achieved using different tip platform extensions. The term “control of tip leakage flow” could be defined as the “minimization of tip leakage flow mass flow rate”. Baseline profile was evaluated at two different tip clearance values of 0.1 inch and 0.135 inch corresponding to

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**Figure 7.** Novel tip platform extensions for tip leakage control

**Figure 8.** Velocity profiles measured at location 3, (340m³/min, 32 Pa)
%1 and %1.35 of the blade height. All of the “tip platform extensions” used in this study were evaluated at tip clearance values of 0.1 inch corresponding to %1 of the blade height.

The General Impact of Tip Platform Extensions: The main goal of this study is to minimize the tip leakage flow by interfering with the flow near the pressure side corner of the blade tip region. A new static pressure distribution near the blade tip section is established by the novel tip platform extension designs shown in Fig. 7. The impact of the suggested tip platform extensions are visible in the “magnitude enhancements” of the axial velocity component downstream of the tip region. It is also highly visible that the strong tangential velocity components induced by the baseline tip are almost eliminated by effective tip platform design. This observation is very clear especially near the tip diameter of the rotor exit flow.

Geometrical Definition of the Five Tip Platform Extensions:
The tip platform extensions were designed by adding different “pressure side bumps” to the base profile as shown in Fig. 7. Initially, a wide bump between trailing edge and leading edge was suggested as Profile 1 in Fig. 7.b. The maximum width of the bump was chosen as the same as the thickness of the airfoil at the bump centerline location. Three more tip platform extensions were derived from Profile 1 by dividing the bump width of Profile 1 into three almost equal chordwise sections. The three new tip platform extensions are termed Profile 2 (near the trailing edge), Profile 3 (middle of the wide bump) and Profile 4 (almost mid-chord). The bump maximum widths were kept the same. Profile 5 is a derivative of the trailing edge bump named as Profile 2. Profile 5 uses a relatively small platform area when compared to all other tip platform extensions. The external contour of Profile 5 is almost parallel to the baseline profile except the end points where blending is suggested. The thickness of the platform extended on the pressure side of the blade is about 0.030 inch (0.762 mm) for all designs used in this investigation.

Only one rotor blade at a time out of seven blades was retrofitted with a suggested design. Each experiment had six baseline blades and a seventh blade with the suggested tip.

Figure 9. Velocity profiles measured at location 4, \((340\text{m}^3/\text{min}, 32\text{ Pa})\)
platform extension. The effective clearance was kept the same for all seven blades.

**Operating Conditions for the Fan:** Figures 8, 9 and 10 show the results of the exit flow measurements of the axial flow fan at the highest volumetric flow rate condition defined as (340 m$^3$/min, 32 Pa). Fig. 11 complements these results from a data set obtained at the minimum mass flow rate condition where the pressure loading is maximum (80 m$^3$/min, 140 Pa). All the velocity profiles are plotted at 1.811 inch (46 mm) downstream of the fan.

**Total Velocity and all Three Velocity Components at Rotor Exit:** In addition to the magnitude of the velocity vector (total velocity); the radial, tangential and axial components of the velocity vector at the exit of the rotor is provided in Fig. 8 in function of the spanwise distance. It should be noted that the radial component is positive in radially inward direction. The absolute magnitude of r/Rtip is used for convenience throughout this manuscript.

**Baseline Tips:** Near the tip, where r/Rtip>0.9, the two base profiles show very similar trends in Figure 8. The total velocity is significantly reduced in the core of the passage where r/Rtip<0.9 when tip clearance is high at 0.135” level. The t=0.100” and t=0.135” clearance base profiles show significant momentum deficit occurring in the core of the tip vortices from the two baseline tips without any tip platforms. The tip vortices from the baseline cases greatly influence the core flow and reduce the mean kinetic energy when they are mixed with the wake fluid. The wake fluid and the tip leakage vortex is also modified and mixed by the serrated trailing edge geometry as shown in Fig. 5. This observation indicates that a significant momentum deficit in the core of the passage vortex exists because of the tip vortex and this momentum deficit becomes higher when the clearance increases. Even under strong mixing conditions induced by the wake fluid of originating from the serrated trailing edges, the direct impact of the baseline tip vortex is visible in the measured total velocity in the core of the passage.

**Figure 10.** Velocity profiles measured at location 5, (340 m$^3$/min, 32 Pa)
The Radial Component: The radial component for all cases as shown in Fig. 8 are all very small magnitudes around ± 1 m/s. There is no significant influence of the magnitude of baseline clearance or the type of the tip treatment on the magnitude of the radial component at all spanwise locations.

Influence of Tip Platform Extensions on the Tangential Component: When the axial velocity component in Fig. 8 is compared to the total velocity, a striking observation in the tip region is apparent. The total velocity for the baseline tips are much higher than the axial component where r/Rtip > 1.05. However, the total velocity for baseline tips are about the same as the axial velocity component for five treated tips shown in Fig. 8. Since the radial components are extremely small for all tip shapes, one can infer that the significant difference between the baseline tips and the treated tips is due to a strong change in the tangential component of the velocity vector. The distribution of the tangential component clearly shows a significant difference in the whole measurement region where r/Rtip > 0.6.

One can conclude that there is a strong swirl component near the tip region when there is no tip treatment. The five treated tips defined in Fig. 7 provide significant reduction in the amount of swirl in rotor exit flow. The reduction in the amount of swirl near the tip region is about 4-5 m/s with the tip platform extensions shown in Fig. 7. The magnitude of the swirl component that is inherent to baseline tips is about one third of the total velocity existing in the core of passage.

Tip Platform Extensions with Highest Axial Velocity at Rotor Exit: Fig. 8 shows that the five new tip platform extension devices can be highly instrumental in reducing the amount of swirl coming out of the rotor. This feature is certainly a benefit in terms of the energy efficiency of the axial flow fan when the fan is operated on its high volumetric flow rate (low pressure rise) point. The tip platform extensions help to reduce the tip leakage mass flow rate and its momentum deficit via local viscous flow modifications near the tip region. The Profile 1 and Profile 2 provide the two profiles with the highest total velocity in the core of the passage exit flow.

Figure 11. Velocity profiles measured at location 3 (high ΔP with 19.6 % perforated plate, 80 m³/min, 140 Pa)
**The Optimal Tip Platform Design:** The experimental results suggest that the “maximum width” of the bump is an important parameter in designing the tip de-sensitization geometry. The Profile 2 and 5 cover almost the same chordwise locations. The only difference between the two is the “maximum width” of the bump. Figures 8, 9 and 10 clearly shows that the recovery of the axial velocity component and elimination of the tangential component is more effective with Profile 2 than with Profile 5. Figures 8, 9 and 10 show that the features observed in Fig.8 for location 3 repeat for other angular positions of the rotor (location 4 and 5). Although there are slight flow field differences at different rotor positions, the general nature of the discussion does not change.

**Fan Exit Flow at the Highest Pressure Rise:** An interesting tip treatment experiment could be performed by operating the fan at its highest pressure point by reducing the volumetric flow rate using a perforated steel plate at the mock-up inlet section as shown in Fig. 1. The specific perforated plate with an open area ratio of %19 indicated that the gains in axial velocity component at the core of the exit flow still exists with Profile 2. Fig. 11 shows that tangential and radial velocity components from Profile 2 are very similar to the baseline profile at the same effective clearance value of 0.100” (%1). However the elimination of the tangential component observed in high volumetric flow rate experiments does not occur under high pressure rise conditions (140 Pa) as shown in Fig.11. There is a consistent 2 m/s increase in axial (or total) velocity throughout the blade span when r/Rtip>0.6. Under high loading conditions the swirl component is minimal even with a baseline tip. The tip platform extensions for this case also perform their function by minimizing the tip leakage flow mass flow rate. The minimization of the tip leakage mass flow rate eventually provides the gain in axial (or total) velocity component. On the average, the gain in total velocity magnitude at the rotor exit is about %17 throughout the blade span. This type of gain in mean kinetic energy of fan exit flow is expected to contribute to the energy efficiency of the fan.

**Conclusions**

Novel tip platform extensions for energy efficiency gains and aeroacoustic improvements were designed for an axial flow fan where wake mixing is already enhanced with a serrated trailing edge design.

Five different tip platform extensions were introduced especially on the pressure side of the fan blades.

It is possible to reduce tip leakage mass flow rate using the novel tip platform extensions. Profile 2 showed the best tip treatment character out of the five new tip platform extensions designed in this investigation.

Tip treatment experiments performed at high volumetric flow rate/low pressure rise clearly showed the minimization of tip vortex mass flow rate by reducing the tip region tangential components significantly.

The tip platform extensions on the pressure side have proven to be effective swirl reducing devices at the exit of the fan. The magnitude of this reduction is about one third of the rotor exit total velocity in the core of the passage exit.

The reduction of tip leakage mass flow rate produced enhanced total velocity values between the mid-span and the tip at the passage exit.

Tip platform experiments performed at the highest pressure point by reducing the volumetric flow rate using a perforated steel plate at the mock-up inlet section also showed significant tip leakage control for the fan. However the elimination of the tangential component observed in high volumetric flow rate experiments does not occur under high pressure rise conditions.

Under high loading conditions the swirl component is minimal even with a baseline tip. Due to tip platform extension “PROFILE 2”, there is a consistent 2 m/s increase in axial (or total) velocity throughout the blade span when r/Rtip>0.6.

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**Nomenclature**

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
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<tbody>
<tr>
<td>c</td>
<td>Chord</td>
</tr>
<tr>
<td>CCD</td>
<td>Charge Coupled Device</td>
</tr>
<tr>
<td>h</td>
<td>Blade height</td>
</tr>
<tr>
<td>PLIF</td>
<td>Phosphoresc. Laser Ind. Fluorescence</td>
</tr>
<tr>
<td>p</td>
<td>Static pressure</td>
</tr>
<tr>
<td>r</td>
<td>Radial position (positive in –x)</td>
</tr>
<tr>
<td>R_hub</td>
<td>Hub radius = 5.00” (127.0 mm)</td>
</tr>
<tr>
<td>R_tip</td>
<td>Tip radius = 15.15” (384.8 mm)</td>
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<tr>
<td>SPIV</td>
<td>Stereoscopic Particle</td>
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<td></td>
<td>Image Velocimeter</td>
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Effective tip clearance in inches
x = Radial direction, see Figure 4
y = Axial direction, see Figure 4
z = Tangential direction, see Figure 2

References


